

# Classifying the complete arcs in small projective planes

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August 2012



## Overview

- Arcs in finite Desarguesian projective planes
- Canonical augmentation
- Large arcs only (unfinished business)



# Arcs in finite Desarguesian projective planes



# Definitions

A **projective plane**  $\mathbf{P}$  consists of points and lines, such that

- Two points are joined by exactly 1 line
- Two lines intersect in exactly 1 point

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**Goal:** Find 'all' complete arcs in small projective planes.



# Finite projective planes

If plane  $\mathbf{P}$  is finite, then there are

- $q^2 + q + 1$  points and  $q^2 + q + 1$  lines
  - $q + 1$  points on each line,  $q + 1$  lines through each point
- $q$  is the **order** of the projective plane.



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Maximum size of an arc  $\mathcal{K}$

- $q + 2$  when  $q$  is *even*,
- $q + 1$  when  $q$  is *odd*.



# Desarguesian projective plane

Let  $\mathbf{F}$  be a field.

- Points:  $(x : y : z)$ ,  $x, y, z \in \mathbf{F}$  (not all zero)
- Lines:  $(A : B : C)^T$ ,  $A, B, C \in \mathbf{F}$  (not all zero)



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- **Conic**: points satisfying  $y^2 = xz$ , i.e.,

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**Today:** field is **finite** with  $q$  elements  
(= order of Desarguesian plane)



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Example  $q = 13$ :

$$3 + 7 = -3$$

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When  $q$  is not prime: *algebraic extension* of prime field.

Example  $q = 9 = 3^2$

- Elements are  $0, \pm 1, \pm i, \pm 1 \pm i$
- Arithmetic modulo 3,  $i^2 = -1$



# Symmetries

Let  $M \in \mathbf{F}^{3 \times 3}$ ,  $M$  nonsingular. The map

$$\begin{aligned}(x : y : z) &\mapsto (x : y : z)M, \\ (A : B : C)^T &\mapsto M^{-1}(A : B : C)^T\end{aligned}$$

is a **projectivity**. There are  $\approx q^8$  projectivities.

(Examples: rotations, mirror symmetries, translations, ...)

A projectivity is an *automorphism* of  $\mathbf{P}$  (incidence is preserved).



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**Goal:** Find all complete arcs in Desarguesian projective planes up to **projective equivalence**.



# Generation up to equivalence

## Earlier results

- For  $q \leq 9$ . By hand (and mathematical theory).
- For  $11 \leq q \leq 19$ . By computer using *ad hoc* methods.



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- For  $q \leq 29$ .

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What next:

- For  $q > 29$  there are **too many** results.

(For  $q = 29$  there are  $> 12 \cdot 10^9$  non-equivalent complete arcs. Computations took  $\approx 5$  years.)



# Canonical augmentation





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(Works for all subsets of  $\mathbf{P}$  — not just arcs)



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Depends on the selection of an appropriate **choice function**  $F$  on  $\mathbf{P}$  which chooses a point in every non-empty set  $S$ :

$$F : S \mapsto F(S) \in S.$$

- What is the ‘best’ choice function depends on the problem
- **Important:**  $F(S)$  should be easily computed for most  $S$



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From  $F$  we derive a **canonical dismantling**:

$$S \rightarrow S' \rightarrow S'' \rightarrow \dots \rightarrow \emptyset,$$

with  $S' = S - \{F(S)\}$ ,  $S'' = S' - \{F(S')\}$ , ...



# Canonical augmentation — simplified (cntd.)

Canonical augmentation reverses the dismantling process

$$\emptyset \rightarrow \dots \rightarrow S'' \rightarrow S' \rightarrow S$$

- Start with the empty set,
- At each stage *augment*  $T$  to  $T \cup \{t\}$  with  $t \notin T$ ,
- Only do this when  $T \cup \{t\}$  dismantles to  $T$ .

This guarantees that every set is generated only once !



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- Number the points of  $\mathbf{P}$  in some way
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**This is too simple...**



# Canonical augmentation — up to equivalence

We need all sets **only up to equivalence**.

$F$  must be **group invariant**:

$$F(S^\phi) = F(S)^\phi, \quad \text{for all projectivities } \phi$$

- Generic example does no longer work
- Harder to find  $F$  that can be efficiently computed



# Canonical augmentation — up to equivalence

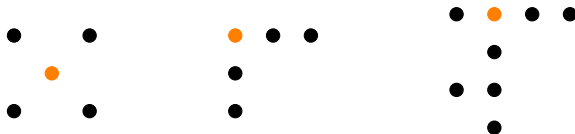
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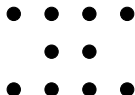
Typical example:  $F$  selects the point of largest 'degree'





# Orbits — not points

A group invariant choice function **does not always exist.**



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**Solution:**  $F$  chooses an **orbit** of the symmetry group of  $S$

$$F : S \mapsto F(S) \in G_S \backslash S$$

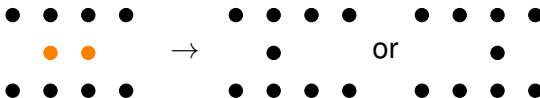
$F(S)$  is a **subset** of  $S$



# Canonical dismantling

The 'parent' is **no longer unique**

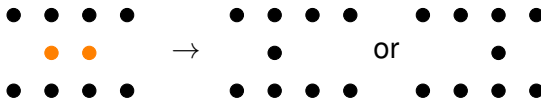
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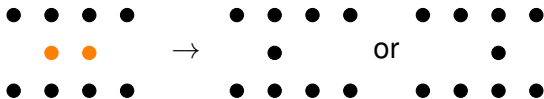
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- Parents of projective equivalent sets are projectively equivalent

Provides a canonical dismantling of *orbits* of sets.



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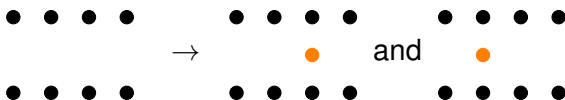


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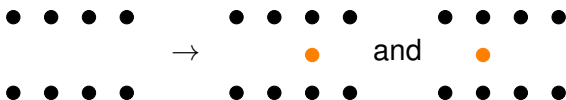


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- Add only one  $t$  for each orbit of  $G_T \backslash\backslash T$
- Check for equivalence (only) one level deep



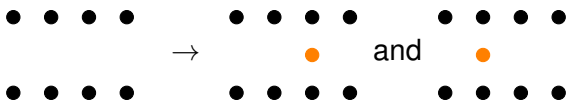


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**Requires computing with groups!**



# How to avoid computing with groups

Every group invariant partitions the set  $S$  in unions of group orbits.



If  $F(S)$  is a singleton, then  $F(S)$  is an orbit



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If  $F(S)$  is a **singleton**, then  $F(S)$  is an orbit

Strategy:

- Make  $F$  prefer singletons
- If no singletons exist for a specific  $S$ , try alternative choice function(s) for that  $S$
- If all else fails: use slow *fallback* choice function.



# Choice function for arcs



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Lines intersect  $\mathcal{K}$  in 0, 1 or 2 points (*external* line, *tangent* of *bisecant*).

**Degree**  $d(p)$  of a point  $p$ : number of bisecants through  $p$



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**Line invariant** and **point invariant**:

$$I(L) = \sum_{p \in L} d(p)^*, \quad I(p) = \sum_{L \ni p} I(L)^*,$$

where  $\cdot^*$  is a simple *hash function*.

$I(p)$  is a suitable invariant for defining  $F$



# Final algorithm

Generate all arcs of size  $k + 1$  from all arcs of size  $k$ , *up to equivalence*:

- For each  $\mathcal{K}'$  of size  $k$ ,
- For all points  $s \notin \mathcal{K}'$ ,
- Check that  $\mathcal{K} = \mathcal{K}' \cup \{s\}$  is still an arc,
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Do all of this **depth first**



# Results - Complete arcs of size $n$

	$q = 5$	$q = 7$	$q = 8$	$q = 9$	$q = 11$	$q = 13$	$q = 16$	$q = 17$
$n = 6$	1	2	1	1				
$n = 7$				1	1			
$n = 8$		1		1	9	2		
$n = 9$					3	29	2	
$n = 10$			1	1	1	21	501	560
$n = 11$							30	2644
$n = 12$					1	1	9	553
$n = 13$							1	8
$n = 14$						1		1
$n = 15$								
$n = 16$								
$n = 17$								
$n = 18$							2	1



# Results - Complete arcs of size $n$

	$q = 19$	$q = 23$	$q = 25$	$q = 27$	$q = 29$
$n = 10$	29	1			
$n = 11$	9541				
$n = 12$	30135	112449	606	7	
$n = 13$	2232	4341514	4072545	221429	708
$n = 14$	70	1828196	29151431	106320273	171139332
$n = 15$		58361	5709597	198631499	7402140892
$n = 16$		564	124577	20335114	4776509549
$n = 17$		5	434	276112	271929757
$n = 18$			41	950	2457679
$n = 19$				5	4190
$n = 20$	1				57
$n = 21$			1		2
$n = 22$				1	
$n = 23$					
$n = 24$		1			1
$n = 25$					
$n = 26$			1		
$n = 27$					
$n = 28$				1	
$n = 29$					
$n = 30$					1
CPU time	$\leq 1$ hour	1 day	10 days	33 days	5 years



**Large arcs only** (unfinished business)



# Large arcs

(Henceforth  $q$  is odd.)

**Theorem [Segre 1954]** All arcs of size  $q + 1$  are conics

**Theorem [Segre 1961, Büke 1974, Thas 1987]**  
All arcs of size  $q$  extend to conics



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What about size  $q - 1$ ?

- For  $q = 7, 9, 11, 13$ , examples exist that do not lie on a conic.
- **Theorems [1990s]** For  $q > 83$  all lie on a conic
- Unknown cases:  
 $q = 37, 41, 43, 47, \underline{49}, 53, 59, 61, 67, 71, 73, 79, \underline{81}, 83$



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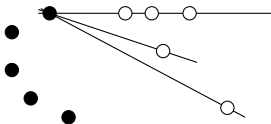
**Can we settle this by computer?**



# Adapting the earlier algorithm

When extending  $\mathcal{K}'$  to  $\mathcal{K}$ , make sure that

- There are enough **free points** left ( $d(p) = 0$ )
- There are enough **free tangents** left



These adaptations make  $q = 31$  just feasible, but not  $q \geq 37$ .





# The Lemma of Tangents

Let  $\mathcal{K}$  denote an arc of size  $q + 2 - t$ . (Our case:  $t = 3$ .)  
Then every point of  $\mathcal{K}$  lies on  $t$  tangents.

## Theorem (Lemma of tangents) [Segre 1950s]

Assume  $\mathcal{K}$  contains

$$e_1 : (1 : 0 : 0), \quad e_2 : (0 : 1 : 0), \quad e_3 : (0 : 0 : 1).$$

Assume the tangents through  $e_1, e_2, e_3$  have equations

$$y = A_iz, \quad z = B_jx, \quad x = C_iy.$$

Then

$$\prod_{i=1}^t A_i B_i C_i = -1.$$



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Lemma of Tangents for **any three** points of  $\mathcal{K}$ :

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Four triples in fixed quadruple of points

- Yield four equations
- However: not linearly independent
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Let  $t = 3$ ,  $|\mathcal{K}| = n$ . Then there remain (at least)

$$\frac{1}{2}n(9 - n) - 1$$

degrees of freedom.



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## Algorithm 1:

- For every arc  $S$  of size 9 (up to equivalence)
- Run through all free points  $s$
- Solve the equations for the 10 points of  $S \cup \{s\}$
- Keep only those  $s$  for which there exists a solution
- Hopefully there are at least  $q - 10$  of those.



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**Not feasible:** Even generating all  $S$  already takes too much time for  $q = 83$ .



# Generation strategy 2

For  $n = 9$  we expect  $-1$  degrees of freedom.

## Algorithm 2:

- For every arc  $S$  of size 8 (up to equivalence)
- Run through all free points  $s$
- Solve the equations for the 9 points of  $S \cup \{s\}$
- **Partition** the  $s$  according to the solutions
- Hopefully there is a **part** of size at least  $q - 9$





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Is this feasible for  $q = 83$  ?

- Nr. of arcs of size 8:  $\approx 8 \cdot 10^{10}$
- Nr. of free points per arc:  $\approx q^2$
- Nr. of systems of equations to solve:  $\approx 5 \cdot 10^{14}$



# Generation strategy 2

For  $n = 9$  we expect  $-1$  degrees of freedom.

## Algorithm 2:

- For every arc  $S$  of size 8 (up to equivalence)
- Run through all free points  $s$
- Solve the equations for the 9 points of  $S \cup \{s\}$
- **Partition** the  $s$  according to the solutions
- Hopefully there is a **part** of size at least  $q - 9$

Is this feasible for  $q = 83$  ?

- Nr. of arcs of size 8:  $\approx 8 \cdot 10^{10}$
- Nr. of free points per arc:  $\approx q^2$
- Nr. of systems of equations to solve:  $\approx 5 \cdot 10^{14}$

**Still not feasible:** 250–500 years of computer time



# External points with 6 tangents

Some properties:

- The **number of tangents** through an **external point** is always even.
- There is at least one external point with  $\geq 4$  tangents



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The resulting arc must have additional **regularity properties** which should make it more easy to generate such arcs.



# External points with 6 tangents

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- There is at least one external point with  $\geq 4$  tangents

**Case 1:** Arc has **no** points with  $\geq 6$  tangents.

The resulting arc must have additional **regularity properties** which should make it more easy to generate such arcs.

**Case 2:** Arc contains **at least one** point with  $\geq 6$  tangents.

(Leads to next algorithm)



# Generation strategy 3

For  $n = 7$  we expect 6 degrees of freedom.

## Algorithm 3

- For every arc  $S$  of size 6 (up to equivalence)
- Run through all free points  $p$
- and through all (remaining) free points  $s$
- Solve the equations for the 7 points of  $S \cup \{s\}$
- Substitute the 6 known variables
- Partition the  $s$  according to the solutions



# Generation strategy 3

For  $n = 7$  we expect 6 degrees of freedom.

## Algorithm 3

- For every arc  $S$  of size 6 (up to equivalence)
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Is this feasible for  $q = 83$  ?

- Nr. of arcs of size 6: 56361



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Is this feasible for  $q = 83$  ?

- Nr. of arcs of size 6: 56361
- **Feasible**: took 450 days of computer time
- **Results**: as expected (also for smaller  $q$ )





# Case 1: Unfinished business

*“The resulting arc must have additional regularity properties which should make it more easy to generate such arcs.”*

**Easier said than done.**



# Do not yet abandon all hope

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- Every arc of size  $q - 1$  has  $\binom{q-1}{8}$  subsets  $S$  of size 8.  
( $\binom{82}{8} = 3.6 \cdot 10^{10}$ ).
- Every arc will be generated approximately that many times.



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( $\binom{82}{8} = 3.6 \cdot 10^{10}$ ).
- Every arc will be generated approximately that many times.

## Possible solution:

Select a smaller collection of starting arcs of size 8 that still guarantees that all arcs of size  $q - 1$  will be generated (up to equivalence).



Every 5 points of an arc lie on a **unique conic**.

**Definition** An arc  $\mathcal{K}$  is called **Veronesian** if no 6 points of  $\mathcal{K}$  lie on the same conic.

**Conjecture** If  $\mathcal{K}$  is a Veronesian arc, then

$$|\mathcal{K}| \leq \frac{1}{3}(\sqrt{q} + 1)^2$$

(For  $q = 83$ :  $|\mathcal{K}| \leq 34$ .)

- Mathematical proof? (With higher upper bound?)
- Provable by computer? (Even for  $q = 83$  ?)



# Generating non-Veronesian arcs

**Corollary** If  $\mathcal{K}$  is large enough it must contain at least one subset of 6 points that lie on a conic.

Adapt Algorithm 2:

- Use only **non-Veronesian** arcs of size 8 as starting configurations

(Not yet clear what would be the gain in speed.)

Further thoughts:

- $(q - 1) - 6$  is still large enough to be non-Veronesian.
- $\mathcal{K}$  contains **two** conical subarcs of size 6.
- Is it feasible to generate all such arcs of 12 points ?



**All suggestions are welcome!**

